SEC Form 4

Smith Patricia

 \Box

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

1. Name and Address of Reporting Person*

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Secti or Section 30(h

OWR APPI	ROVAL
OMB Number:	3235-0287
Estimated average b	urden

pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940		h	iours per respoi	nse:	0.5
2. Issuer Name and Ticker or Trading Symbol BankFinancial CORP [BFIN]	5. Relat (Check	(s) to Issuer			
		Director		10% Owner	
	v	Officer (give	title	Other (specify	
3. Date of Earliest Transaction (Month/Day/Year)		below)		below)	

(Last) 15W060	(First) NORTH FRONTAC	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/14/2017	EVP
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)
BURR R	IDGE IL	60527		X Form filed by One Reporting Person Form filed by More than One Reporting Person
(City)	(State)	(Zip)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

			•		-		-			
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Transaction					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	02/14/2017		М		42,500	A	\$12.99	57,500	D	
Common Stock	02/14/2017		F		39,699	D	\$14.7	17,801	D	
Common Stock								17,084.7709	Ι	By 401k ⁽¹⁾
Common Stock								11,503.9696	Ι	By Employee Stock Ownership Plan ⁽²⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

				•		·		· · ·											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr.				Expiration Date		of Securities Underlying Derivative Security		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares								
Stock Options (Rights to Buy)	\$12.99	02/14/2017		М			42,500	(3)	06/26/2017	Common Stock	42,500	\$0	0	D					
Stock Options (Rights to Buy)	\$11.99							(4)	06/26/2017	Common Stock	42,500		42,500	D					

Explanation of Responses:

1. The information in this report is based on the 401(k) report dated February 14, 2017.

2. Reflects ESOP allocations that occurred as of December 31, 2015.

3. Stock Option Awards granted pursuant to the 2006 Equity Incentive Plan of BankFinancial Corporation vest ratably over four quarterly installments commencing March 31, 2016.

4. Stock Option Awards granted pursuant to the 2006 Equity Incentive Plan of BankFinancial Corporation vest ratably over four quarterly installments commencing September 30, 2015.

<u>/s/ F. Morgan Gasior, pursuant</u>	02/15/2
<u>to power of attorney</u>	02/13/2
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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